

PTO/SB/17 (01-06)

Approved for use through 07/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

# FEE TRANSMITTAL For FY 2006

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT	(\\$) 180.00
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## Complete if Known

Application Number	10/764,227
Filing Date	23 January 2004
First Named Inventor	Peng Zhang, et al.
Examiner Name	Timothy J. Kugel
Art Unit	1712
Attorney Docket No.	06491 USA

## METHOD OF PAYMENT (check all that apply)

Check  Credit Card  Money Order  None  Other (please identify): \_\_\_\_\_  
 Deposit Account Deposit Account Number: 01-0493 Deposit Account Name: Air Products and Chemicals, Inc.

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

Charge fee(s) indicated below  Charge fee(s) indicated below, except for the filing fee  
 Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17  Credit any overpayments

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## FEE CALCULATION (All the fees below are due upon filing or may be subject to a surcharge.)

### 1. BASIC FILING, SEARCH, AND EXAMINATION FEES

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

### 2. EXCESS CLAIM FEES

#### Fee Description

Each claim over 20 (including Reissues)

Each independent claim over 3 (including Reissues)

Multiple dependent claims

Total Claims	Extra Claims	Fee (\$)	Fee Paid (\$)	Small Entity Fee (\$)	Fee (\$)
- 20 or HP =	x 50	= 0		50	25
HP = highest number of total claims paid for, if greater than 20.				200	100
Indep. Claims	Extra Claims	Fee (\$)	Fee Paid (\$)	360	180
- 3 or HP =	x 200	= 0			

HP = highest number of independent claims paid for, if greater than 3.

### 3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
- 100 =	/ 50 =	(round up to a whole number) x 250 = 0		

### 4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge): Submission of Information Disclosure Statement

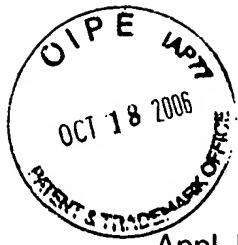
180

## SUBMITTED BY

Signature		Registration No. (Attorney/Agent)	47,038	Telephone	(610) 481-8169
Name (Print/Type)	Joseph D. Rossi			Date	16 October 2006

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 10/764,227 : Confirmation No.: 9139  
Applicant : Peng Zhang, et al.  
Filed : 23 January 2004  
For : IMMERSION LITHOGRAPHY FLUIDS

Art Unit : 1712  
Examiner : Timothy J. Kugel

Docket No. : 06491 USA  
Customer No. : 23543

Commissioner for Patents  
P.O. Box 1450  
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ON	16 October 2006
Date	
Rita Linden	
(Type or print name of person mailing paper)	
<i>Rita Linden</i>	
Signature of person mailing paper	

### TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97(c)

The information disclosure statement transmitted herewith is being filed **after** the period specified in § 1.97(b) but **before** the mailing date any of a final action under § 1.113, a notice of allowance under § 1.311, or an action that otherwise closes prosecution in the application.

Accompanying this transmittal is

a statement as specified in 35 C.F.R. 1.97(e)

or

the fee set forth in 37 C.F.R. 1.17(p).

Respectfully submitted,

Joseph D. Rossi  
Attorney for Applicant(s)  
Registration No. 47,038

7201 Hamilton Boulevard  
Allentown, PA 18195-1501  
(610) 481-8169



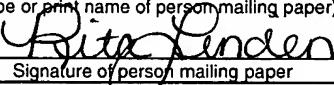
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Rita Linden	
(Type or print name of person mailing paper)	
	
Signature of person mailing paper	

### STATEMENT FOR INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97(e)

This statement is being made for the Information Disclosure statement

accompanying this statement.

or

filed on .

I, the person(s) signing below, state:

that each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement. 37 CFR 1.97(e)(1).

or

that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in § 1.56(c) more than three months prior to the filing of the statement. 37 CFR 1.97(e)(2).

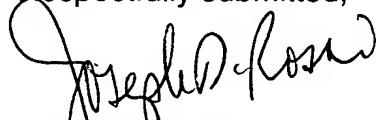
10/18/2006 ZJUHAR1 00000047 010493 10764227

01 FC:1806 180.00 DA

The person making this statement is the attorney/agent who signs below  
on the basis of the information:

- supplied by the inventor(s).
- supplied by an individual designated in § 1.56(c).
- in the attorney's file.

Respectfully submitted,



Joseph D. Rossi  
Attorney for Applicant(s)  
Registration No. 47,038

7201 Hamilton Boulevard  
Allentown, PA 18195-1501  
(610) 481-8169

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Substitute for form 1449/PTO				<i>Complete if Known</i>	
				Application Number	<b>10/764,227</b>
				Filing Date	<b>23 January 2004</b>
				First Named Inventor	<b>Peng Zhang, et al.</b>
				Art Unit	<b>1714</b>
				Examiner Name	<b>T. Kugel</b>
Sheet	<b>1</b>	of	<b>2</b>	Attorney Docket Number	<b>06491 USA</b>

## **U. S. PATENT DOCUMENTS**

## **FOREIGN PATENT DOCUMENTS**

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (# known)				

Examiner Signature		Date Considered	
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**\*EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformation and not considered. Include copy of this form with next communication to applicant. **<sup>1</sup>**Applicant's unique citation designation number (optional). **<sup>2</sup>**See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. **<sup>3</sup>**Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). **<sup>4</sup>**For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. **<sup>5</sup>**Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. **<sup>6</sup>**Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO:**

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Substitute for form 1449/PTO <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(Use as many sheets as necessary)</i>		<b>Complete if Known</b>	
		Application Number	<b>10/764,227</b>
		Filing Date	<b>23 January 2004</b>
		First Named Inventor	<b>Peng Zhang, et al.</b>
		Art Unit	<b>1714</b>
		Examiner Name	<b>T. Kugel</b>
Sheet	<b>2</b>	of	<b>2</b>
		Attorney Docket Number	
		<b>06491 USA</b>	

## **NON PATENT LITERATURE DOCUMENTS**

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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